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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/806,835	03/23/2004	T. Jeffrey Barany	040230

26285  
KIRKPATRICK & LOCKHART LLP  
535 SMITHFIELD STREET  
PITTSBURGH, PA 15222

CONFIRMATION NO. 4233

FORMALITIES LETTER



\*OC000000012868052\*

Date Mailed: 06/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

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130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be 'K. Patel', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Barany et al.  
Application No.: 10/806,835  
Filed: March 23, 2004

For: TRANSACTION STRUCTURE FOR ISSUING INFLATION-LINKED SECURITIES

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Date of Deposit August 24, 2004

I hereby certify that the following attached paper or fee

**COMPLETION OF FILING REQUIREMENTS-NONPROVISIONAL APPLICATION  
COPY OF PTO FORM-1533  
DECLARATION  
STATEMENT BY ATTORNEY  
CHECK PAYABLE TO PTO (For Late Filing of Oath & Dec. & One Month Ext. Fee)**

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: **Mail Stop: Missing Parts, Commissioner for Patents  
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(Typed or printed name of person mailing paper or fee)  
[Signature]  
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